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(71) Applicant (for all designated States except US): LAM-BERTI SPA [IT/IT]; Via Piave 18, I-21041 Albizzate (IT).

(72) Inventors; and

- (75) Inventors/Applicants (for US only): POLOTTI, Gianmarco [IT/IT]; Via Fogagnolo, 89, I-20099 Sesto San Giovanni (IT). BENETTI, Arianna [IT/IT]; Corso Leonardo Da Vinci, 61, I-21013 Gallarate (IT). FEDERICI, Franco [IT/IT]; Viale Stelvio, 49, I-21052 Busto Arsizio (IT). LI BASSI, Giuseppe [IT/IT]; Via Stretti, 4, I-21026 Gavirate (IT).
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### (54) Title: SYNTHETIC THICKENERS FOR COSMETICS

(57) Abstract: Disclosed are inverse emulsions useful as thickeners for cosmetic formulations wherein the weight ratio between the aqueous phase and the oil phase is from 4:1 to 2:1 and containing from 20 to 70% by weight of an anionic acrylic polymer obtained by inverse emulsion polymerisation of one or more anionic acrylic monomers, at least one of which containing a strongly acidic functional group, dissolved in the aqueous phase, and at least a hydrophobic acrylic monomer dissolved in the oil phase before the mixing of the two phases. Also disclosed is the procedure for their preparation.



WO 2004/063228

## SYNTHETIC THICKENERS FOR COSMETICS

### **TECHNICAL FIELD**

The present invention relates to inverse emulsions useful as thickeners in cosmetic formulations and to the procedure for their preparation.

Cosmetic formulations include all the products normally used for personal care, such as body and face creams, cleansing fluids, after-shave balms, foundation creams and other products for similar applications.

#### **BACKGROUND ART**

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It is known that a technical problem often encountered in the cosmetic industry is to obtain high viscous formulations (pastes, gels) which are stable over time.

An essential characteristic of the thickeners employed in cosmetic formulations is that they manifest their thickening capability even when used in small quantities, without negatively altering the other properties of the formulations.

In the specialised literature many methods are reported to regulate the rheological properties of different formulations, often including the use of polymers in the form of inverse emulsion (an inverse emulsion is an emulsion containing both an oil-in-water emulsifier and a water-in-oil emulsifier, wherein the aqueous phase is dispersed in the organic phase in very small drops).

We cite, as an example, EP 503853, wherein an inverse emulsion containing a polymer comprising units deriving from acrylamide, 2-acrylamido-2-methylpropanesulfonic acid and a polyfunctional monomer is described.

A disadvantage of the inverse emulsions of EP 503853 is the fact that they may contain traces of acrylamide monomer, a toxic substance which is unacceptable by the present European legislative trend.

In US 6,375,959 and US 6,197,287 a procedure for the preparation of cross-linked or branched anionic polyelectrolytes based on strongly acidic monomers and other monomers (but not acrylamide nor hydrophobic monomers), in the form of an inverse emulsion, is described.

The lack of stability of the emulsions used as thickeners in cosmetics, even if it is not a determining characteristic in view of the final properties of the finished cosmetic product itself, may cause troubles during their preparation, storing and transport.

It is highly desirable in the cosmetic field to have thickeners in the form of emulsion that, besides conferring a perfect homogeneity and showing both good

2

thickening efficiency in different conditions and ease of use, are commercially available as stable emulsions and are able to give stable cosmetic formulations.

With the expression "stable emulsion" we mean an emulsion that in the normal storing conditions (from -10°C to 40°C) and for the usual lifetime (180-360 days) does not show phase separation, sediment, formation of floating pellicles and lumps.

With the expression "stable cosmetic formulation" we mean a thickened cosmetic formulation that in the above said conditions and lifetime does not show phase separation, sediment, formation of floating pellicles and lumps.

## 10 DISCLOSURE OF INVENTION

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It has now surprisingly been found that the inverse emulsions containing an anionic acrylic polymer obtained by inverse emulsion polymerisation of one or more anionic acrylic monomers, at least one of which containing a strongly acidic functional group, dissolved in the aqueous phase, and at least a hydrophobic acrylic monomer dissolved in the oil phase before the mixing of the two phases, possess a stability which is perfectly suited for their industrial use in cosmetic formulations, even many months after their preparation.

In the present text with the expression "anionic acrylic monomers" we mean both acrylic monomers containing a strongly acidic functional group, at least some of which being in neutral salt form, and acrylic monomers containing a carboxylic group.

It is a fundamental object of the present invention an inverse emulsion for the preparation of cosmetic formulations wherein the weight ratio between the aqueous phase and the oil phase is from 4:1 to 2:1 and containing from 20 to 70% by weight of an anionic acrylic polymer obtained by inverse emulsion polymerisation of one or more anionic acrylic monomers, at least one of which containing a strongly acidic functional group, dissolved in the aqueous phase, and at least a hydrophobic acrylic monomer dissolved in the oil phase before the mixing of the two phases, the percentage of the hydrophobic acrylic monomers on the total weight of the anionic acrylic monomers being of 0.1% to 5% by weight, preferably of 0.5 to 1.5% by weight.

It is a further object of the present invention a procedure for the preparation of an inverse emulsion for cosmetic formulations characterised by:

 adding to a mixture of water and one or more anionic acrylic monomer, at least one of which containing a strongly acidic functional group, an aqueous

3

solution of an alkali to regulate the pH between 4 and 10, a cross-linking agent and an initiator of radical polymerisation, maintaining the temperature between 0° and 5°C;

b. preparing an oil phase containing from 0.1 to 10% by weight of at least one hydrophobic acrylic monomer and one or more water-in-oil emulsifiers;

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- c. introducing the mixture obtained in a. into the oil phase prepared in b. and emulsifying the two phases by vigorous stirring;
- d. initiating the polymerisation and completing it, maintaining the temperature between 55° and 95°C, under vigorous stirring;
- 10 e. cooling the reaction mixture to 35-45°C and adding an oil-in-water emulsifier.

The anionic acrylic monomer containing a strongly acidic functional group is selected among the monomer of this kind that are normally employed for the preparation of polymeric synthetic thickeners for the cosmetic use, such as 2-acrylamido-2-methylpropanesulfonic acid and its salts.

In the present text with the expression "hydrophobic acrylic monomer" we mean an acrylic monomer which is insoluble in water.

For the realisation of the present invention the preferred hydrophobic acrylic monomers are esters of acrylic or methacrylic acid with  $C_4$ - $C_{20}$  linear or branched monofunctional alcohols; the more preferred hydrophobic acrylic monomers are stearyl methacrylate and n-butyl acrylate.

In the preferred form of realisation of the present invention the anionic acrylic monomers dissolved in the aqueous phase are a mixture of at least one monomer containing a strongly acidic functional group (AF) and one or more monomers containing a carboxylic group (AC), the weight ratio between AF and AC being comprised from 4:1 and 1:1, more preferably from 2.5:1 and 1.5:1.

Preferably the anionic acrylic monomers containing a carboxylic group are chosen between acrylic acid and methacrylic acid.

In the procedure of the invention, normally, the alkali used is NaOH.

According to a preferred aspect of the invention the anionic acrylic polymer obtained by inverse emulsion polymerisation is cross-linked with from 0.01% to 1% by weight on the total weight of the monomers of a compound containing two or more ethylenic groups, more preferably with methylene-bis-acrylamide,

Among the initiators of radical polymerisation utilisable for the realisation of the present invention are ammonium, potassium or sodium persulfate, and water-

4

soluble organic peroxides, by way of example hydrogen peroxide and peracetic acid.

For the realisation of the present invention it is also possible to use an initiator of radical polymerisation which is soluble in the oil phase containing the hydrophobic acrylic monomer; examples of such initiators are lauroyl peroxide and benzoyl peroxide.

In the inverse emulsions of the invention the oil phase consists of mineral oils containing saturated hydrocarbons or by vegetable oils or by mixture thereof having boiling point from 150 to 300°C.

Preferably the organic phase is a C<sub>13</sub>-C<sub>18</sub> iso-paraffin.

The water-in-oil and the oil-in-water emulsifiers are those normally used for this purpose.

We cite among the utilisable water-in-oil emulsifiers: sorbitan monolaurate, sorbitan monopalmitate, sorbitan monostearate, sorbitan monooleate; among the utilisable oil-in-water emulsifiers we cite the linear or branched ethoxylated alcohols.

To initiate the polymerisation of the acrylic monomers advantageously an aqueous solution of sodium metabisulfite is used.

The inverse emulsions of the invention may further additionally contain the common additives used in radical polymerisation, by way of example sequestering agents such as sodium diethylenetriaminepentaacetate.

As it was previously observed, the inverse emulsions of the present invention are stable and allow the obtainment of stable cosmetic formulations; without giving an exhaustive explanation of the phenomenon it is supposed that the presence of hydrophobic side chains in the polymeric structure enhances the compatibility of the thickener with all the other organic compounds.

Polymers incorporating hydrophobic side chains are part of the state of the art of other categories of products, such as polymeric surfactants, which are however used for their surface-active properties and do not possess thickening properties.

In the following examples the preparation of inverse emulsions according to the invention and of some cosmetic formulations containing them is reported.

The following examples illustrate the present invention without limiting it, nor the kind of application of the inverse emulsions of the invention.

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## Example 1.

The following ingredients are loaded into a 1.5 I pirex reactor equipped with a steel anchor stirrer:

62.21 g deionised water;

5 573 g aqueous solution (50% by weight) of sodium 2-acrylamido-2-methylpropane sulfonate;

135 g acrylic acid;

After a cooling down period, necessary to reach a temperature close to 0°C, the following ingredient are slowly added while stirring:

112.38 g aqueous solution (50% by weight) of NaOH;

10 g aqueous solution (1% by weight) of methylene-bisacrylamide;

0.5 g aqueous solution (40% by weight) of sodium diethylenetriaminepentaacetate;

10.75 g aqueous solution (4% by weight) of ammonium persulfate.

In the meantime, the organic phase is prepared inside a 500 ml beaker adding under stirring:

20 g sorbitan monooleate;

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4.2 g stearyl methacrylate;

214.8 g C<sub>13</sub>-C<sub>16</sub> hydrocarbon isoparaffin.

The aqueous phase is slowly added into the organic phase and subsequently the mixture is efficiently stirred with a high shear dispersing machine (ultra-turrax IKA). The emulsion obtained is then reloaded in the reactor and the reaction is ready to be started (reaction phase). The first operation is to insufflate nitrogen directly in the bulk of the product for about 10 minutes. This is a key step, because it enables to lower and control the amount of oxygen dissolved in the emulsion and to adjust the induction times. The second phase takes place only after the emulsion temperature is warmed up to 20°C. After that, 21.5 g of a 1% by weight aqueous solution of sodium metabisulfite is quickly loaded drop-wise through an addition funnel. The third phase is the radical reaction. The reaction proceeds spontaneously raising gradually the temperature to about 60 °C in 50 minutes. The stiming is maintained very fast and cool water re-circulates inside the reactor jacket. After this period of time the emulsion is kept at 60°C for about one hour to complete the monomers conversion, consuming the residual monomers. Subsequently a cooling down period is required to reach a temperature of 35-40°C. The final step is the addition of 25 g of C<sub>12</sub>-C<sub>16</sub> (8 moles) ethoxylated linear alcohol.

6

The mixture is rapidly stirred till homogeneity is reached; the final emulsion (Emulsion 1) is then unloaded and stored for at least 24 hours before the evaluation of its properties.

Property evaluation of Emulsion 1.

5 Samples of Emulsion 1 are stored at different temperatures.

The emulsion stability is evaluated at different temperatures by visually checking possible phase separation or settling on the bottom of the vessel using a glass stick. In the following table (Table 1) the test temperatures and minimal stability times of the emulsion are shown.

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Table 1

Temperature	-3°C	20°C	45°C
Stability	>30	>100	>30
(days)			

The thickening properties are instead evaluated as follows and are shown in Tables 2 and 3.

A 2% by weight aqueous solution of Emulsion 1 is prepared in deionised water with high stirring in a 1 litre beaker.

Subsequently the viscosity is measured at 20°C, at different pH values (see Table 2) and adding different concentration of electrolyte (NaCl, as shown in Table 3).

20 The pH was adjusted by additions of an aqueous solution (50%) of citric acid.

Table 2. Brookfield Viscosity in mPa.s (spindle 6, after 24 h)

5 rpm	10 rpm	рН
53800	31000	7.5
51600	30600	7.0
20000	12900	6.87
3000	2200	6.47
1000	600	4.3

rpm= rounds per minute

Table 3. Brookfield Viscosity in mPa.s (spindle 6, after 24 h, pH =7.5)

	0% NaCl	0.1% NaCl	0.2% NaCl	0.3% NaCl	0.4% NaCl
5 rpm	53800	45200	34800	31000	20000
10 rpm	31000	23000	19600	18400	12400

rpm= rounds per minute

#### Example 2

An inverse emulsion is prepared as described in Example 1, substituting stearyl methacrylate in the oil phase with 5 g of butyl acrylate thus obtaining Emulsion 2. Property evaluation of Emulsion 2.

Samples of Emulsion 2 are stored at different temperatures.

The emulsion stability is evaluated at different temperatures by visually checking possible phase separation or settling on the bottom of the vessel using a glass stick.

In the following table (Table 4) the test temperatures and minimal stability times of the emulsion are shown.

## 15 Table 4

Temperature	-3°C	20°C	45°C
Stability (days)	>40	>200	>40

The thickening properties are evaluated as described for Emulsion 1 and are shown in Tables 5 and 6.

20 Table 5 . Brookfield Viscosity in mPa.s (spindle 6, after 24 h)

5 rpm	10 rpm	рН
65600	38000	7.37
13800	8600	6.84
6800	4300	6.12
600	500	4.51

rpm= rounds per minute

Table 6. Brookfield Viscosity in mPa.s (spindle 6, after 24 h, pH =7.5)

	0%NaCl	0.1% NaCl	0.2% NaCl	0.3% NaCl	0.4% NaCl
5 rpm	65600	52000	35000	27000	20200
10 rpm	38000	30500	20700	16500	12200

rpm= rounds per minute

Example 3.

A body cream is prepared using Emulsion 1; all the ingredients are listed in Table 7 and the procedure is described hereinafter.

Phase A is prepared by homogenising all the ingredients at room temperature and then heating the mixture to 70 °C.

Phase B is prepared heating all the ingredients to 70-75°C; Phase A is added to Phase B stirring vigorously. The mixture is cooled down to 40°C and Phase C and Phase D are added, stirring till homogeneity is reached.

Properties of the cream obtained:

Viscosity = 29000 mPa.s (5 rpm, spindle 4); 43000 mPa.s (2.5 rpm spindle 4); pH=7.5

Table 7. Body cream.

%
to 100
3
0.1
0.4
15
5
4
2
3
1
0.1

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### Example 4

A foundation is prepared using Emulsion 2; all the ingredients are listed in Table 8 and the procedure is described hereinafter.

All the ingredients of Phase B are mixed and stirred till homogeneity is reached. Phase A is prepared by mixing all its ingredients and heating to 70°C; then Phase A is added to Phase B. The mixture of the two phases is homogenised and then cooled down to 40°C.

Phase C and D are added while stirring.

10 Properties of the foundation:

Viscosity = 28000 mPa.s (5 rpm, spindle 4); 46000 mPa.s (2.5 rpm, spindle 4); pH=7.0.

Stability: No separation after 60 minutes of centrifugation at 6000 rpm.

### 15 Table 8. Foundation.

Ingredients	%
Phase A	
Aqua	to 100
Glycerin	3
EDTA	0.1
Emulsion 2	0.4
Phase B	
Polydecene	15
Prunus Amygdalus dulcis	5
Caprylic/capric triglyceride	4
Steareth-2	2
Steareth-21	3
Unipure Brown LC889 *	8
Unipure Yellow LC182 *	1
Unipure White LC 981 *	1
Phase C	
Preservative	1
Phase D	
Parfum	0.1

<sup>\*</sup>pigments sold by LCW (France)

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## Example 5

A moisturising cream is prepared using Emulsion 1; all the ingredients are listed in Table 9 and the procedure is described hereinafter.

All the ingredients of Phase A are mixed and stirred till homogeneity is reached. Phase B is added and the mixture is heated to 75°C. All the ingredients of Phase C are mixed under vigorous stirring at 75°C, then Phase A and Phase B are added to Phase C till homogeneity is reached. The mixture of the phases is then cooled down to 40°C and the ingredients of Phase D, E, F and G are added while stirring. Table 9. Moisturising cream.

Ingredients	%
Phase A	
Aqua	to 100
Glycerin	8
EDTA	0.1
Panthenol	0.3
Micronised TiO <sub>2</sub>	2
Emulsion 1	2
Phase B	
Nylon-12	2
Phase C	
Polydecene	15
Cetyl alcohol	2
Bis-hydroxyethyl bis cetyl	0.1
malonamide	
Phase D	
Preservative	1
Tocopheryl acetate	0.5
Phase E	
Citrus aurantium dulcis	5
Yeast	2
Phase F	
Beta-glucan	1
Phase G	
Parfum	0.1

11

## Properties of the cream:

Viscosity = 36000 mPa.s (5 rpm, spindle 5); 67600 mPa.s (2.5 rpm, spindle 5); pH=6.75.

Stability.

No separation after 60 minutes of centrifugation at 6000 rpm.

### Example 6.

A body cream is prepared using Emulsion 2; all the ingredients are listed in Table 10 and the procedure is described hereinafter.

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Table 10. Body cream.

Ingredients	%
Phase A	
Aqua	to 100
Glycerin	4
Emulsion 2	0.6
Phase B	
Mineral oil	8
Isopropyl palmitate	5
Octyl stearate	4
Polyglyceryl 2-stearate	2
Phase C	·
Coco sodium glutamate	2
Phase D	
Preservative	1
Parfum	0.1

All the ingredients of Phase B are heated to 70°C and are stirred till homogeneity is reached.

Phase A is prepared by mixing and heating all the ingredients at 70°C and then it is added to Phase B. The mixture is homogenised and cooled down to 40°C. Phase C and D are added under stirring.

Properties of the cream obtained:

Viscosity = 25000 mPa.s (5 rpm, spindle 4); 36000 mPa.s (2.5 rpm spindle 4)

20 pH=7.0

12

## Stability.

No separation after 60 minutes of centrifugation at 6000 rpm.

### Example 7.

A skin cleansing lotion is prepared using Emulsion 2; all the ingredients are listed in Table 12 and the procedure is described hereinafter.

All the ingredients of Phase B are heated to 70°C and are stirred till homogeneity is reached.

Phase A is prepared by mixing and heating all the ingredients at 70°C and then it is added to Phase B. The mixture is homogenised and cooled down to 40°C. Phase C and D are added under stirring.

Properties of the lotion obtained:

Viscosity = 10000 mPa.s (5 rpm, spindle 4); 16000 mPa.s (2.5 rpm spindle 4); pH=6.5

15 Stability.

No separation after 60 minutes of centrifugation at 6000 rpm.

Table 12. Skin cleansing lotion.

Ingredients	%
Phase A	
Aqua	to 100
Emulsion 2	0.7
Phase B	
Almond oil	1
Isopropyl palmitate	4
Wheat germ oil	1
Cetearyl Isononanoate	8
Phase C	
Polyglyceryl-2-	2
polyethyleneglycol-10-	
laurate	
Phase D	
Preservative	1
Parfum	0.3

## Example 8.

An after-shave balm is prepared using Emulsion 1; all the ingredients are listed in Table 13 and the procedure is described hereinafter.

All the ingredients of Phase A are mixed and stirred till homogeneity is reached.

5 Phase B and C are added under stirring.

Table 13. After-shave balm.

Ingredients	%
Phase A	
Aqua	to 100
Emulsion 1	0.7
Phase B	
Ethanol 95%	10
Wheat germ oil	2
Phase C	
Preservative	1
Parfum	0.5

Properties of the balm obtained:

Viscosity = 2000 mPa.s (5 rpm, spindle 4); 4000 mPa.s (2.5 rpm spindle 4) pH=6.5

Stability:

No separation after 60 minutes of centrifugation at 6000 rpm.

#### 15 Example 9.

A skin protectant is prepared using Emulsion 1; all the ingredients are listed in Table 14 and the procedure is described hereinafter.

All the ingredients of Phase A are heated to 40°C and stirred till homogeneity is reached. Phase B is added under stirring. Phase C is added and the mixture is

20 homogenised.

Properties of the cream obtained:

Viscosity = 10000 mPa.s (5 rpm, spindle 4); 14000 mPa.s (2.5 rpm spindle 4); pH=7.0

Stability.

No separation after 60 minutes of centrifugation at 6000 rpm.

Table 14. Skin protectant.

Ingredients	%
Phase A	
Aqua	to 100
Emulsion 1	0.7
Glycerin	3
EDTA	0.05
Lysine	0.025
Phase B	
Clyclomethicone	10
Wheat germ oil	1
Phase C	
Preservative	1
Parfum	0.5
ranum	

# Example 10.

A Massage Gel is prepared using Emulsion 1; all the ingredients are listed in Table 15 and the procedure is described hereinafter.

Table 15. Massage Gel

%
to 100
1.2
0.001
10
0.10
1
0.5

All the ingredients of Phase A are mixed and stirred till homogeneity is reached.

All the ingredients of Phase B and C are added under stiming.

10 Properties of the gel obtained:

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Viscosity = 40000 mPa.s (5 rpm, spindle 4); 80000 mPa.s (2.5 rpm spindle 4) pH=6.5

Stability.

No separation after 60 minutes of centrifugation at 6000 rpm.

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### Example 11.

A skin protectant is prepared using Emulsion 1; all the ingredients are listed in Table 16 and the procedure is described hereinafter.

## 10 Table 16. Skin protectant

Ingredients	%
Phase A	
Aqua	to 100
Emulsion 1	0.7
Glycerin	3
EDTA	0.05
Lysine	0.025
Phase B	
Cyclomethicone	10
Wheat germ oil	1
Phase C	
Preservative	1
Parfum	0.5

All the ingredients of Phase A are mixed and stirred till homogeneity is reached.

All the ingredients of Phase B and C are added under stirring.

Properties of the cream obtained:

Viscosity = 10000 mPa.s (5 rpm, spindle 4); 14000 mPa.s (2.5 rpm spindle 4) pH=7.0

Stability.

No separation after 60 minutes of centrifugation at 6000 rpm.

16

#### **CLAIMS**

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- 1. Inverse emulsion wherein the weight ratio between the aqueous phase and the oil phase is from 4:1 to 2:1 and containing from 20 to 70% by weight of an anionic acrylic polymer obtained by inverse emulsion polymerisation of one or more anionic acrylic monomers, at least one of which containing a strongly acidic functional group, dissolved in the aqueous phase, and at least a hydrophobic acrylic monomer dissolved in the oil phase before the mixing of the two phases, the percentage of the hydrophobic acrylic monomers on the total weight of the anionic acrylic monomers being from 0.1% to 5% by weight.
- Inverse emulsion according to claim 1., wherein the percentage of the hydrophobic acrylic monomers on the total weight of the anionic acrylic monomers is from 0.5 to 1.5% by weight.
  - 3. Inverse emulsion according to claim 1. or 2., wherein the anionic acrylic monomer is 2-acrylamido-2-methylpropanesulfonic acid and/or its sodium salt.
- 4. Inverse emulsion according to claim 3., wherein the hydrophobic acrylic monomer are esters of acrylic or methacrylic acid with C<sub>4</sub>-C<sub>20</sub> linear or branched monofunctional alcohols.
  - 5. Inverse emulsion according to claim 4., wherein the hydrophobic acrylic monomer is stearyl methacrylate or n-butyl methacrylate.
- 20 6. Procedure for the preparation of an inverse emulsion characterised by:
  - a. adding to a mixture of water and one or more anionic acrylic monomer, at least one of which containing a strongly acidic functional group, an aqueous solution of an alkali to regulate the pH between 4 and 10, a cross-linking agent and an initiator of radical polymerisation, maintaining the temperature between 0° and 5°C;
  - b. preparing an oil phase containing from 0.1 to 10% by weight of at least one hydrophobic acrylic monomer and one or more water-in-oil emulsifiers;
  - c. introducing the mixture obtained in a. into the oil phase prepared in b. and emulsifying the two phases by vigorous stirring;
- d. initiating the polymerisation and completing it maintaining the temperature between 55° and 95°C under vigorous stirring;
  - e. cooling the reaction mixture to 35-45°C and adding an oil-in-water emulsifier.

# INTERNATIONAL SEARCH REPORT

International Application No PCT/FP 03/51056

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A. CLASSI IPC 7	IFICATION OF SUBJECT MATTER C08F2/32 A61K7/06		
According to	o International Patent Classification (IPC) or to both national class	ification and IPC	
	SEARCHED		
IPC 7	ocumentation searched (dassification system followed by dassific COSF		
Documenta	tion searched other than minimum documentation to the extent the	at such documents are incl	luded in the fields searched
Electronic d	ata base consulted during the International search (name of data	base and, where practical	l, search terms used)
EPO-In	ternal		
C. DOCUMI	ENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the	relevant passages	Relevant to claim No.
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<u> </u>	ner documents are listed in the continuation of box C.	χ Patent family r	nembers are listed in annex.
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